PTO/SB/01 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Attorney Docket Number **DECLARATION FOR UTILITY OR** First Named Inventor Phil Kongtcheu **DESIGN** COMPLETE IF KNOWN PATENT APPLICATION (37 CFR 1.63) Application Number Filing Date 12/17/2004 Declaration Declaration Submitted after Initial Submitted OR Art Unit With Initial Filing (surcharge 3624 (37 ČFR 1.16 (e)) Filing Examiner Name required) Wasylchak, Steven I hereby declare that: Each inventor's residence, mailing address, and citizenship are as stated below next to their name. I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled: METHODS, SYSTEMS AND COMPUTER PROGRAM PRODUCTS TO FACILITATE THE FORMATION AND TRADING OF DERIVATIVES CONTRACTS (Title of the Invention) the specification of which is attached hereto OR 06/18/2003 was filed on (MM/DD/YYYY) as United States Application Number or PCT International PCT/US03/19179 Application Number and was amended on (MM/DD/YYYY) (if applicable). I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application. I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application		Foreign Filing Date	Priority	Certified Copy	Attached?
Number(s)	Country	(MM/DD/YYYY)	Not Claimed	Yes	No
					$\Box$
Additional foreign applicat	ion numbers ar	re listed on a supplemental pri	ority data sheet PTO/SE	3/02B attached h	ereto.

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/01 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## **DECLARATION** — Utility or Design Patent Application

						_			
Direct all correspondence to:	Custome	r Number	289	32		OR	Corres	pondence address below	
Name	(								
·									
Address									
City			•	State	•			ZIP	
Country	Telephone			Fax					
	Ì								
		<del></del>				L			
I hereby declare that all stater									
and belief are believed to be	e true; and furt	ner that	tnese sta	tement	s were	made with	the kno	wledge that willful false	
statements and the like so ma false statements may jeopardia							U.S.C.	1001 and that such willful	
raise statements may jeopardiz	e trie validity of	ine applic	ation or a	пу рац	ant issu	ea inereon.			
NAME OF SOLE OR FIRST INVENTOR:  A petition has been filed for this unsigned inventor									
Given Name	_ ! !				F	amily Name	1/		
(first and middle [if any])					0	r Surname	Kn	<u>ng</u> tcheu	
							170		
Inventor's	MU							Date	
Signature	1110							12/12/2004	
Residence: City	State			Cour	ntry		Citizer	nship	
Jersey City	New Jerse	v /	(Y)	lus,	Α		Can	neroon	
Mailing Address	1/	<del>, ,</del>	<u>~</u>	1			Joan	iloroon	
1866 John F. Kennedy Boulevard Suite B1									
City	State			•	ZIP			Country	
Jersey City	New Jerse	21/			0730	15		USA	
corcey city	146W 36136	<i>-</i> y		<del></del>	0700			00/	
NAME OF SECOND INVENTOR:  A petition has been filed for this unsigned inventor									
Given Name					Fa	mily Name	•	•	
(first and middle [if any])					or	Surname			
Inventor's								Data	
Signature								Date	
Residence: City	State			Coun	itry		Citizer	nship	
Mailing Address	<u> </u>			l			J		
Mailing Address									
City .	State				ZIP	<u> </u>	Court		
Oity .	Jiale				217		Count	у	
				- 1					
	l						1		
Additional inventors or a legal re	presentative are boin	n named on	the f	unnlama	ntal chec	tie) DTOICDIOS	A or 021 E a	attached horate	
, toomonor inventors or a legal re	Proseritariae are DRILL	A namen au	nic	appicitie	nıtai 511 <del>0</del> 8	t(s) PTO/SB/02	~ UI UZLK 8	auduleu Heielu.	